

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT APPLICATION



COMPLETION Under Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): SUEHIRO et al.

Appln. No.: 09 749,636
Series Code ↑ Serial No. ↑

Atty. Dkt. P 275747 TYGUS001
M# Client Ref

Filed: December 28, 2000
Title: LIGHT EMITTING DIODE

Hon. Commissioner of Patents
Washington, DC 20231

Date: May 3, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) 05/04/2001 AGOITOH 00000089 09749636
No of Sheets 06 FC:115 110.00 0P
 - ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

Completion Under Rule 53(b)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Information Disclosure Statement; PTO-Form 1449
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|--|----|------------|---|------------------------------------|------|----------|
| 13. Basic Filing Fee | | | | Design Application \$320/\$160 | | 106/26 |
| | | | | Not Design Application \$710/\$355 | +710 | 101/201 |
| 14. Total Effective Claims | 22 | minus 20 = | 2 | x \$18/\$9 | +36 | 103/203 |
| 15. Independent Claims | 7 | minus 3 = | 4 | x \$80/\$40 | +320 | 102/202 |
| 16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) | | | | \$270/\$135 | +0 | 104/204 |
| 17. Surcharge for filing Declaration/filing fee late | | | | \$130/\$65 | +130 | 105/205 |
| 18. FILING FEE ENCLOSED = | | | | \$1196 | | |
| 19. Original due date: April 15, 2001 | | | | | | |
| 20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached | | | | (1 mo) \$110/\$55 = | +110 | 115/215 |
| | | | | (2mos) \$390/\$195 = | | 116/216 |
| | | | | (3mos) \$890/\$445 = | | 117/217 |
| | | | | (4mos) \$1390/\$695 = | | 118/218 |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | | | \$130 | +0 | 139 |
| 22. If "assignment" box 5 is X'd, add recording fee | | | | \$40 | +40 | 581 |
| 23. Petition Fee for | | | | \$130 | +0 | |
| 24. TOTAL FEE ENCLOSED = | | | | \$1346 | | |

Our Deposit Account No. 03-3975

Our Order No. 032775

0275747

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Date Mailed: 02/15/2001

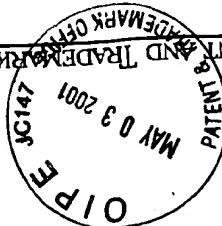
Pillsbury Madison & Sutro LLP
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CONFIRMATION NO. 6023
FORMALITIES LETTER
OC000000005762670

| | | | |
|----------------------------------|-----------------------------------|--|-------------------------------------|
| APPLICATION NUMBER 09/749,636 | FILING/RECEIPT DATE 12/28/2000 | FIRST NAMED APPLICANT Yoshinobu Suehiro | ATTORNEY DOCKET NUMBER PM 275747 |
|----------------------------------|-----------------------------------|--|-------------------------------------|

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

UNITED STATES PATENT AND TRADEMARK OFFICE



- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$356.
 - \$36 for 2 total claims over 20
 - \$320 for 4 independent claims over 3
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1196.

A copy of this notice MUST be returned with the reply.

710.00 DP
130.00 DP
36.00 DP
320.00 DP

05/04/2001 09:01:01 00000089 09749636

01 FC:101
02 FC:105
03 FC:103
04 FC:102

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE